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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/715,385	11/16/2000	Nicholas J. Rush		8560

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EXAMINER

COBURN, CORBETT B

ART UNIT	PAPER NUMBER
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3714

DATE MAILED: 08/28/2003

*2f*

Please find below and/or attached an Office communication concerning this application or proceeding.

# Office Action Summary

Application No.

09/715,385

Applicant(s)

RUSH ET AL.

Examiner

Corbett B. Coburn

Art Unit

3714

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

## Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

## Status

- 1) ☒ Responsive to communication(s) filed on 11 August 2003.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

## Disposition of Claims

- 4) ☒ Claim(s) 1-55 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-55 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

## Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on \_\_\_\_\_ is: a) ☐ approved b) ☐ disapproved by the Examiner.
- If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

## Priority under 35 U.S.C. §§ 119 and 120

- 13) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
- a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

## Attachment(s)

- 1) ☐ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449) Paper No(s) \_\_\_\_\_.
- 4) ☐ Interview Summary (PTO-413) Paper No(s). \_\_\_\_\_.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_\_.

**DETAILED ACTION**

***Claim Rejections - 35 USC § 103***

1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

2. Claims 1-13, 16-30, 33-47 & 50-55 are rejected under 35 U.S.C. 103(a) as being unpatentable over Weingardt et al. (US Patent No. 5,275,400) in view of Walker et al. (US Patent No. 6086477). (Henceforth, Walker '477.)

**Claims 1, 9, 20, & 37:** Weingardt discloses a token account for tracking tokens associated with a first one of a plurality of players, (Col 7, 27-32) and a gambling apparatus coupled to the token account. (Col 7, 32-35) The apparatus is configured to debit or credit the token account based on the outcome of a gambling game. (Col 7, 43-49) There is a token conversion module for converting tokens according to a token value based on the total number of tokens in a set of tokens (i.e., pari-mutuel wagering). (Col 8, 3-10) Weingardt discloses a computer system for implementing his invention. (Col 7, 26) Weingardt discloses that in bingo, it is well known to have a pool with a constant value (i.e., wherein a total value of all tokens in the set of tokens is constant) and wherein the total value of the tokens is derived from a source other than the players (i.e., is a cost of doing business). (Col 13, 55- Col 14, 2)

Weingardt does not, however, teach the concept of a guaranteed win or statistically positive token return. Walker '477, an analogous invention, teaches that providing a guaranteed win increases participation in the game. (Col 3, 28-32)

It would have been obvious to one of ordinary skill in the art at the time of the invention to include a guaranteed win feature in Weingardt's pari-mutuel gambling method to increase participation in the game.

**Claims 2, 21 & 38:** Walker '477 describes a lottery system in which at least one token (a ticket) is selected and the player holding that token is awarded a predetermine prize. (Col 11, 56-65) Lotteries are an immensely popular form of gaming. It would have been obvious to one of ordinary skill in the art at the time of the invention to have adapted Weingardt's pari-mutuel system to lotteries in order to take advantage of this popularity.

**Claims 3, 22, 39:** Weingardt discloses a method of determining the value of the token by dividing the value of the pari-mutuel pool by the number of outstanding tokens. (Col 12, 25-69) Weingardt also discloses that cash awards (the pari-mutuel pool) for Bingo are predetermined. (Col 13, 55-60)

**Claims 4, 23 & 40:** Weingardt discloses redeeming accrued credits. (Col 3, 39-40)

**Claims 5, 24 & 41:** Weingardt discloses that the pari-mutuel pool may comprise the set of all tokens awarded to all players. (Col 1, 39-41)

**Claims 6, 25 & 42:** Weingardt describes playing poker for table stakes. (Col 14, 60-64) When playing for table stakes, the set of tokens (money, chips, etc.) comprises the tokens awarded within a defined time limit – i.e., at the beginning of the game.

**Claim 7:** Weingardt discloses account initialization. (Col 7, 55-67)

Art Unit: 3714

**Claims 8, 26 & 43:** Walker '477 discloses periodic drawings (Col 13, 1-2) and thus the conversion of tokens at the expiry of a predetermined time period – in this case, twice a week. Lotteries typically have periodic drawings and it would have been obvious to one of ordinary skill in the art at the time of the invention to have periodic lottery drawings to conform to customary practices in the industry.

**Claims 10, 27 & 44:** Weingardt discloses, sports betting on horse races (Col 1, 25-29), video poker (Col 3, 5), slot machines (Col 3, 12), blackjack, (Col 3, 12) and bingo (Col 13, 55). Walker '477 discloses a lottery game that is essentially keno. (Col 12, 63 through Col 13, 6). Weingardt discloses that pari-mutuel gambling may be used in connection with any number of games and that doing so would make the games comply with state and federal regulations. (Col 1, 13-20) While neither reference specifically teaches solitaire, it would have been obvious to one of ordinary skill in the art at the time of the invention to apply pari-mutuel rules to that game to make it comply with state and federal regulation.

**Claims 11, 28 & 45:** Weingardt discloses various casino games. (Col 3, 5-13) Weingardt does not, however, teach the concept of a guaranteed win or statistically positive token return. Walker '477, an analogous invention, teaches that providing a guaranteed win increases participation in the game. (Col 3, 28-32)

It would have been obvious to one of ordinary skill in the art at the time of the invention to include a guaranteed win feature in Weingardt's pari-mutuel gambling method to increase participation in the game.

**Claims 12, 13, 29, 30, 46 & 47:** Weingardt discloses conversion of tokens after a predetermined number of definable units of game play. (Col 7, 43-49) Weingardt discloses that after each hand or game, the amount of the player's winnings may be added to the credit meter. Players can cash out at any time. (Col 8, 3-10)

**Claims 16, 33 & 50:** Weingardt discloses a computer network. (Col 7, 22-26)

**Claims 17, 34 & 51:** While Weingardt discloses electronically linking the gaming devices in a conventional manner to a central computer, Weingardt does not specifically disclose use of the Internet. The Internet is, however, a well-known and conventional manner for linking computers. Walker '477 (Col 7, 57) discloses use of the Internet to link gaming devices with a central computer. It would have been obvious to one of ordinary skill in the art at the time of the invention to use the Internet to link gaming devices to a central computer because this is a well-known and conventional manner of linking computers.

**Claims 18, 35 & 52:** Weingardt discloses a game machine. (Col 7, 14)

**Claims 19 & 36:** While Weingardt is primarily concerned with electronic gaming devices; it does discuss use of pari-mutuel wagering in traditional poker games in which there is a human dealer. (Col 14, 60-61)

**Claims 53-55:** Walker teaches that the source of gaming prizes may be one or more advertisers. (Col 2, 41- Col 3, 10) Having the prizes provided by one or more advertisers increases the profitability of the casino. Instead of having to fund the prize pool as a cost of doing business, the gaming establishment is relieved of that responsibility. This would tend to greatly increase profits. It would have been obvious to one of ordinary skill in the

Art Unit: 3714

art at the time of the invention to have the source of the Weingardt's prize pool be provided by one or more advertisers (as suggested by Walker) in order to increase casino profitability.

3. Claims 14, 15, 31, 32, 48 & 49 are rejected under 35 U.S.C. 103(a) as being unpatentable over Weingardt in view of Walker '477 as applied to claims 1, 20, & 37 above, (as appropriate) and further in view of Walker et al. (US Patent No. 6277972). (Henceforth, Walker '972.)

**Claim 14, 31 & 48:** Weingardt and Walker '477 disclose the invention substantially as claimed. Weingardt discloses token accounts lasting through multiple games or gaming sessions (Col 16, 17-23), but does not disclose an account that allows the player to leave the casino and come back at some later date. Walker '972, an analogous invention, discloses such an account and teaches that such accounts encourage repeat visits to the casino. (Col 3, 13-27) It would have been obvious to one of ordinary skill in the art at the time of the invention to provide accounts that allow the player to leave the casino and return at a later date in order to encourage repeat visits by the player.

**Claims 15, 32 & 49:** Weingardt and Walker '477 describe the invention substantially as claimed. These references do not, however, disclose the concept of expiring tokens. Walker '972 discloses expiring tokens. Walker '972 teaches that having expiring game tokens gives the player the incentive to make return visits to the casino. (See Abstract.) It would have been obvious to one of ordinary skill in the art at the time of the invention to include expiring tokens in order to give players incentives to make return visits to the casino.

***Response to Arguments***

4. Applicant's arguments filed 11 August 2003 have been fully considered but they are not persuasive.

5. With respect to the objection under 37 CFR 1.71 and the rejection of claims 10, 11, 19, 27, 28, 36, 44 & 44 under 35 USC §112, Applicant has amended the claims to recite that the players receive a statistically positive return. Examiner interprets this to mean that the players as a group receive a statistically positive return, not that each individual player will receive such a return. In view of this interpretation, the rejections and objections are withdrawn. Applicant's argument that individual players receive a statistically positive return appears to be moot in light of the amendment.

6. Applicant argues that Walker '477 fails to teach a statistically positive return. Walker '477 teaches a lottery in which the player is guaranteed to win a drawing-based prize. (Abstract) This is a statistically positive return.

7. Applicant's other arguments are directed to the claims as amended and are addressed in the rejections above.

***Conclusion***

This is a Request for Continuing Examination (RCE) of applicant's earlier Application No. 09/715385. All claims are drawn to the same invention claimed in the earlier application and could have been finally rejected on the grounds and art of record in the next Office action if they had been entered in the earlier application. Accordingly, **THIS ACTION IS MADE FINAL** even though it is a first action in this case. See MPEP § 706.07(b). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).



Art Unit: 3714

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no, however, event will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

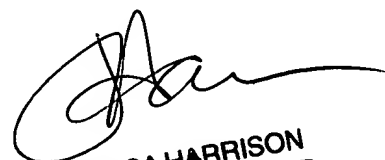
Any inquiry concerning this communication or earlier communications from the examiner should be directed to Corbett B. Coburn whose telephone number is (703) 305-3319. The examiner can normally be reached on 8-5:30, Monday-Friday, alternate Fridays off.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Tom Hughes can be reached on (703) 308-1806. The fax phone numbers for the organization where this application or proceeding is assigned are (703) 872-9302 for regular communications and (703) 872-9303 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 308-1148.



cbc  
August 25, 2003



JESSICA HARRISON  
PRIMARY EXAMINER